

JC07 Rec'd PCTO 20 MAR 2002

FORM PTO-1390 (REV. 9-2001)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTORNEY'S DOCKET NUMBER 61546-A-PCT-US/JPW/FHB	
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371				U.S. APPLICATION NO. (If known, see 37 CFR 1.5)	
				not yet known 10/088775	
INTERNATIONAL APPLICATION NO. PCT/US01/26708		INTERNATIONAL FILING DATE August 28, 2001		PRIORITY DATE CLAIMED August 28, 2000	
TITLE OF INVENTION DETECTION OF ANT-GLYCOLIPID ANTIBODIES BY LATEX AGGLUTINATION ASSAY					
APPLICANT(S) FOR DO/EO/US The Trustees of Columbia University in the City of New York					
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:					
<ol style="list-style-type: none"> <li>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li>3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.</li> <li>4. <input checked="" type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (Article 31).</li> <li>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) <ol style="list-style-type: none"> <li>a. <input checked="" type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). (courtesy copy)</li> <li>b. <input type="checkbox"/> has been communicated by the International Bureau.</li> <li>c. <input checked="" type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ol> </li> <li>6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> is attached hereto.</li> <li>b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</li> </ol> </li> <li>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) <ol style="list-style-type: none"> <li>a. <input checked="" type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). (courtesy copy)</li> <li>b. <input checked="" type="checkbox"/> have been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li> <li>d. <input type="checkbox"/> have not been made and will not be made.</li> </ol> </li> <li>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).</li> <li>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). (unsigned)</li> <li>10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</li> </ol>					
Items 11 to 20 below concern document(s) or information included:					
<ol style="list-style-type: none"> <li>11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</li> <li>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</li> <li>13. <input type="checkbox"/> A <b>FIRST</b> preliminary amendment.</li> <li>14. <input type="checkbox"/> A <b>SECOND</b> or <b>SUBSEQUENT</b> preliminary amendment.</li> <li>15. <input type="checkbox"/> A substitute specification.</li> <li>16. <input type="checkbox"/> A change of power of attorney and/or address letter.</li> <li>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.</li> <li>18. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4).</li> <li>19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).</li> <li>20. <input checked="" type="checkbox"/> Other items or information: Courtesy copy of PCT Request and Small Entity Declaration from U.S. parent application, 1 loose duplicate set of formal drawings, and an Express Mail Certificate of Mailing bearing Label No. EL 075 515 375 US dated March 20, 2002</li> </ol>					

U.S. APPLICATION NO. (if known, see 37 CFR 1.51) not yet known <b>10/088775</b>		INTERNATIONAL APPLICATION NO. <b>PCT/US01/26708</b>		ATTORNEY'S DOCKET NUMBER <b>61546-A-PCT-US</b>																											
21. <input checked="" type="checkbox"/> The following fees are submitted: <b>BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):</b> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO ..... <b>\$1040.00</b>  International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO ..... <b>\$890.00</b>  International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... <b>\$740.00</b>  International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... <b>\$710.00</b>  International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) ..... <b>\$100.00</b>  <b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>				<b>CALCULATIONS PTO USE ONLY</b>          <table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td style="width:50%; text-align: right;"><b>\$ 710.00</b></td> <td style="width:50%;"></td> </tr> <tr> <td style="text-align: right;">\$</td> <td></td> </tr> </table>		<b>\$ 710.00</b>		\$																							
<b>\$ 710.00</b>																															
\$																															
Surcharge of <b>\$130.00</b> for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				<table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td style="width:50%; text-align: right;">\$</td> <td style="width:50%;"></td> </tr> </table>		\$																									
\$																															
<table border="1" style="width:100%; border-collapse: collapse;"> <thead> <tr> <th style="width:20%;">CLAIMS</th> <th style="width:20%;">NUMBER FILED</th> <th style="width:20%;">NUMBER EXTRA</th> <th style="width:20%;">RATE</th> <th style="width:20%;"></th> <th style="width:20%;"></th> </tr> </thead> <tbody> <tr> <td>Total claims</td> <td style="text-align: center;">35 - 20 =</td> <td style="text-align: center;">15</td> <td style="text-align: center;">x \$18.00</td> <td style="text-align: right;">\$ 270.00</td> <td></td> </tr> <tr> <td>Independent claims</td> <td style="text-align: center;">4 - 3 =</td> <td style="text-align: center;">1</td> <td style="text-align: center;">x \$84.00</td> <td style="text-align: right;">\$ 84.00</td> <td></td> </tr> <tr> <td colspan="4"></td> <td style="text-align: right;">+ \$280.00</td> <td style="text-align: right;">\$ 280.00</td> </tr> </tbody> </table>				CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE			Total claims	35 - 20 =	15	x \$18.00	\$ 270.00		Independent claims	4 - 3 =	1	x \$84.00	\$ 84.00						+ \$280.00	\$ 280.00	<table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td style="width:50%; text-align: right;">\$</td> <td style="width:50%;"></td> </tr> </table>		\$	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE																												
Total claims	35 - 20 =	15	x \$18.00	\$ 270.00																											
Independent claims	4 - 3 =	1	x \$84.00	\$ 84.00																											
				+ \$280.00	\$ 280.00																										
\$																															
MULTIPLE DEPENDENT CLAIM(S) (if applicable)				<table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td style="width:50%; text-align: right;">\$</td> <td style="width:50%;"></td> </tr> </table>		\$																									
\$																															
<b>TOTAL OF ABOVE CALCULATIONS =</b>				<table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td style="width:50%; text-align: right;">\$ 1,344.00</td> <td style="width:50%;"></td> </tr> </table>		\$ 1,344.00																									
\$ 1,344.00																															
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				<table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td style="width:50%; text-align: right;">\$ 672.00</td> <td style="width:50%;"></td> </tr> </table>		\$ 672.00																									
\$ 672.00																															
<b>SUBTOTAL =</b>				<table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td style="width:50%; text-align: right;">\$ 672.00</td> <td style="width:50%;"></td> </tr> </table>		\$ 672.00																									
\$ 672.00																															
Processing fee of <b>\$130.00</b> for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				<table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td style="width:50%; text-align: right;">\$</td> <td style="width:50%;"></td> </tr> </table>		\$																									
\$																															
<b>TOTAL NATIONAL FEE =</b>				<table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td style="width:50%; text-align: right;">\$ 672.00</td> <td style="width:50%;"></td> </tr> </table>		\$ 672.00																									
\$ 672.00																															
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). <b>\$40.00</b> per property +				<table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td style="width:50%; text-align: right;">\$</td> <td style="width:50%;"></td> </tr> </table>		\$																									
\$																															
<b>TOTAL FEES ENCLOSED =</b>				<table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td style="width:50%; text-align: right;">\$ 672.00</td> <td style="width:50%;"></td> </tr> </table>		\$ 672.00																									
\$ 672.00																															
<table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td style="width:50%;"></td> <td style="width:50%; text-align: right;">Amount to be refunded:</td> <td style="width:50%; text-align: right;">\$</td> </tr> <tr> <td></td> <td style="text-align: right;">charged:</td> <td style="text-align: right;">\$</td> </tr> </table>					Amount to be refunded:	\$		charged:	\$																						
	Amount to be refunded:	\$																													
	charged:	\$																													
a. <input checked="" type="checkbox"/> A check in the amount of \$ <u>672.00</u> to cover the above fees is enclosed.  b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.  c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>03-3125</u> . A duplicate copy of this sheet is enclosed.  d. <input type="checkbox"/> Fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.																															
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.																															
SEND ALL CORRESPONDENCE TO:  <div style="display: flex; justify-content: space-between;"> <div style="width: 60%;">           John P. White            Reg. No. 28,678            Cooper &amp; Dunham LLP            1185 Avenue of the Americas            New York, New York 10036         </div> <div style="width: 35%; text-align: center;">           SIGNATURE              NAME  <u>John P. White</u>            28,678            REGISTRATION NUMBER         </div> </div>																															

10/088775

JC13 Rec'd PCT/PTO 20 MAR 2002

Dkt. 0575/61546-A-PCT/JPW/BJA

IN THE INTERNATIONAL BUREAU OF WIPO

Applicant : THE TRUSTEES OF COLUMBIA UNIVERSITY IN THE  
CITY OF NEW YORK.

International  
Application No.: PCT/US01/26708

International  
Filing Date : August 28, 2001

For : DETECTION OF ANTI-GLYCOLIPID ANTIBODIES BY  
LATEX AGGLUTINATION ASSAY

1185 Avenue of the Americas  
New York, New York 10036  
February 25, 2002

FACSIMILE: 011-41-22-740-1435

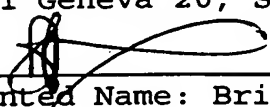
International Bureau of WIPO  
34, chemin des Colombettes  
1211 Geneva 20, Switzerland

Attn: Article 19 Amendment


FACSIMILE CERTIFICATE OF TRANSMISSION  
IN CONNECTION WITH THE ABOVE-IDENTIFIED APPLICATION

Date of Facsimile: February 25, 2002

I hereby certify that this paper is being facsimile transmitted  
to the International Bureau of WIPO, 34, chemin des Colombettes,  
1211 Geneva 20, Switzerland, on the date indicated above:

  
Printed Name: Brian J. Amos

Respectfully submitted,

  
John P. White  
Registration No. 28,678  
Attorney for Applicant  
Cooper & Dunham LLP  
1185 Avenue of the Americas  
New York, New York 10036  
(212) 278-0400

Dkt. 61546-A-PCT-US/JPW/FHB

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Norman Latov and Armin Alaedini  
International  
Application No. : PCT/US01/26708  
Filed : 28 August 2001  
For : DETECTION OF ANTI-GLYCOLIPID ANTIBODIES  
BY LATEX AGGLUTINATION ASSAY

1185 Avenue Of The Americas  
New York, New York 10036  
March 20, 2002

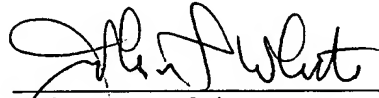
Assistant Commissioner for Patents  
Washington, D.C. 20231  
Attn: DO/EO/US

**EXPRESS MAIL CERTIFICATE OF MAILING**  
**FOR ABOVE-IDENTIFIED APPLICATION**

Express Mail mailing label number: EL 075 515 375 US  
Date of Deposit: March 20, 2002  
I hereby certify that this paper or fee is being deposited with the  
United States Postal Service "Express Mail Post Office to Addressee"  
service under 37 C.F.R. §1.10 on the date indicated above and is  
addressed to the Assistant Commissioner for Patents and Trademarks,  
Washington, D.C. 20231.

  
Printed Name: Jamel Rhabbs

Respectfully submitted,

  
John P. White  
Reg. No. 28,678  
Attorney for Applicants  
Cooper & Dunham LLP  
1185 Ave of the Americas  
New York, New York 10036  
(212) 278-0400